

Compliance Certificate Principles - 2008 Edition		
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Governance and Administration	2.1	The institution has degree-granting authority from the appropriate government agency or agencies. (Degree-granting Authority)
Governance and Administration	2.2	The institution has a governing board of at least five members that is the legal body with specific authority over the institution...
Governance and Administration	2.3	The institution has a chief executive officer whose primary responsibility is to the institution and who is not the presiding officer of the board.
Governance and Administration	2.4	The institution has a clearly defined, comprehensive, and published mission statement that is specific to the institution and appropriate for higher education. The mission addresses teaching and learning and, where applicable, research and public service.
Institutional Effectiveness/ Governance and Administration	2.5	The institution engages in ongoing, integrated, and institution-wide research-based planning and evaluation processes that (1) incorporate a systematic review of institutional mission, goals, and outcomes; (2) results in continuing improvement in institutional quality; and (3) demonstrate the institution is effectively accomplishing its mission.
Registrar	2.6	The institution is in operation and has students enrolled in degree programs. (Continuous Operation)
Registrar	2.7.1	The institution offers one or more degree programs based on at least 60 semester credit hours or the equivalent at the associate level; at least 120 semester credit hours or the equivalent at the baccalaureate level; or at least 30 semester credit hours...
Educational Programs	2.7.2	The institution offers degree programs that embody a coherent course of study that is compatible with its stated mission and is based upon fields of study appropriate to higher education. (Program Content)
Educational Programs	2.7.3	In each undergraduate degree program, the institution requires the successful completion of a general education component at the collegiate level...
Educational Programs	2.7.4	The institution provides instruction for all course work required for at least one degree program at each level at which it awards degrees...
Faculty	2.8	The number of full-time faculty members is adequate to support the mission of the institution and to ensure the quality and integrity of its academic programs.

Library	2.9	The institution, through ownership or formal arrangements or agreements, provides and supports student and faculty access and user privileges to adequate library collections and services and to other learning/information resources...
EP/ Student Services	2.10	The institution provides student support programs, services, and activities consistent with its mission that promote student learning and enhance the development of its students. (Student Support Services)
Fiscal	2.11.1	The institution has a sound financial base and demonstrated financial stability to support the mission of the institution and the scope of its programs and services. (Fiscal Resources) ...
Physical	2.11.2	The institution has adequate physical resources to support the mission of the institution and the scope of its programs and services. (Physical Resources)
QEP	2.12	The institution has developed an acceptable QEP that (1) includes a broad - based institutional process identifying key issues emerging from institutional assessment, (2) focuses on learning outcomes and /or the environment supporting student learning and accomplishing the mission of the institution, (3) demonstrates institutional capability for the initiation, implementation, and completion of the QEP, (4) includes broad-based involvement of the institution's constituencies in the development and proposed implementation of the QEP, and (5) identifies goals and a plan to assess their achievement. (QEP)
		Comprehensive Standards - Institutional Mission
Governance and Administration	3.1.1	The mission statement is current and comprehensive, accurately guides the institution's operations, is periodically reviewed and updated, is approved by the governing board, and is communicated to the institution's constituencies. (Mission)
		Comprehensive Standards - Governance & Administration
Governance and Administration	3.2.1	The governing board of the institution is responsible for the selection and the periodic evaluation of the chief executive officer.
Governance and Administration	3.2.2	The legal authority and operating control of the institution are clearly defined for the following areas within the institution's governance structure: (Governing board control)
Governance and Administration	3.2.2.1	institution's mission;
Fiscal	3.2.2.2	fiscal stability of the institution;

Governance and Administration	3.2.2.3	institutional policy, including policies concerning related and affiliated corporate entities and all auxiliary services; and
Governance and Administration	3.2.2.4	related foundations (athletic, research, etc.) and other corporate entities whose primary purpose is to support the institution and/or its programs
Governance and Administration	3.2.3	The board has a policy addressing conflict of interest for its members (Board conflict of interest)
Governance and Administration	3.2.4	The governing board is free from undue influence from political, religious, or other external bodies and protects the institution from such influence. (External influence)
Governance and Administration	3.2.5	The governing board has a policy whereby members can be dismissed only for appropriate reasons and by a fair process. (Board dismissal)
Governance and Administration	3.2.6	There is a clear and appropriate distinction, in writing and practice, between the policy-making functions of the governing board and the responsibility of the administration and faculty to administer and implement policy. (Board/administrative distinction)
Governance and Administration	3.2.7	The institution has a clearly defined and published organizational structure that delineates responsibility for the administration of policies. (Organizational structure)
Governance and Administration	3.2.8	The institution has qualified administrative and academic officers with the experience, competence, and capacity to lead the institution. (Qualified administrative/academic officers)
Governance and Administration	3.2.9	The institution defines and publishes policies regarding appointment and employment of faculty and staff. (Faculty/staff appointment)
Governance and Administration	3.2.10	The institution evaluates the effectiveness of its administrators on a periodic basis. (Administrative staff evaluations)
Governance and Administration	3.2.11	The institution's chief executive officer has ultimate responsibility for, and exercise appropriate administrative and fiscal control over, the institution's intercollegiate athletics program.
Governance and Administration	3.2.12	The institution's chief executive officer controls the institution's fund-raising activities exclusive of institution-related foundations that are independent and separately incorporated. (Fund-raising activities)

Governance and Administration	3.2.13	Any institution-related foundation not controlled by the institution has a contractual or other formal agreement that (1) accurately describes the relationship between the institution and the foundation and (2) describes any liability associated with the relationship. In all cases, the institution ensures that the relationship is consistent with its mission. (Institution-related foundations)
Governance and Administration	3.2.14	The institution's policies are clear concerning ownership of materials, compensation, copyright issues, and the use of revenue derived from the creation and production of all intellectual property. These policies apply to students, faculty, and staff. (Intellectual property rights)
Institutional Effectiveness	3.3.1	The institution identifies expected outcomes, assess the extent to which it achieves these outcomes, and provides evident of improvement based on analysis of the results in each of the following areas: (Institutional Effectiveness)
Institutional Effectiveness	3.3.1.1	educational programs, to include student learning outcomes
Institutional Effectiveness	3.3.1.2	administrative support services
Institutional Effectiveness	3.3.1.3	educational support services
Institutional Effectiveness	3.3.1.4	research within its educational mission, if appropriate
Institutional Effectiveness	3.3.1.5	community/public service within its educational mission, if appropriate
		PROGRAMS
Educational Programs	3.4	Educational Programs: All educational programs (includes all on-campus, off-campus, and distance learning programs and course work) (See Commission policy "Distance Education.")
Educational Programs	3.4.1	The institution demonstrates that each educational program for which academic credit is awarded is approved by the faculty and the administration. (Academic program approval)
Educational Programs	3.4.2	The institution's continuing education, outreach, and service programs are consistent with the institution's mission. (Continuing education/service programs)
Educational Programs	3.4.3.	The institution publishes admissions policies that are consistent with its mission. (Admission policies)

Educational Programs	3.4.4	The institution has defined and published policy for evaluating, awarding, and accepting credit for transfer, experiential learning, advanced placement, and professional certificates that is consistent with its mission and ensures that course work and learning outcomes are at the collegiate level and comparable to the institution's own degree programs. The institution assumes responsibility for the academic quality of any course work or credit recorded on the institution's transcript. (see Commission policy "The Transfer or Transcribing of Academic Credit.") (Acceptance of academic credit)
Educational Programs	3.4.5	The institution publishes academic policies that adhere to principles of good educational practice. These are disseminated to students, faculty, and other interested parties through publications that accurately represent the programs and services of the institution. (Academic policies)
Educational Programs	3.4.6	The institution employs sound and acceptable practices for determining the amount and level of credit awarded for courses, regardless of format or mode of delivery. (Practices for awarding credit)
Educational Programs	3.4.7	The institution ensures the quality of educational programs and courses offered through consortial relationships or contractual agreements, ensures ongoing compliance with the comprehensive requirement, and evaluates the consortia relationship and/or agreement against the purpose of the institution. (Consortia relationships/contractual agreements)
Educational Programs	3.4.8	The institution awards academic credit for coursework taken on a noncredit basis only when there is documentation that the noncredit course work is equivalent to a designated credit experience. (Noncredit to credit)
Educational Programs	3.4.9	The institution provides appropriate academic support services. (Academic support services)
Educational Programs	3.4.10	The institution places primary responsibility for the content, quality, and effectiveness of the curriculum with its faculty. (Responsibility for curriculum)
Educational Programs	3.4.11	For each major in a degree program, the institution assigns responsibility for program coordination, as well as for curriculum development and review, to persons academically qualified in the field. In those degree programs for which the institution does not identify a major, this requirement applies to a curricular area or concentration. (Academic program coordination)

Educational Programs	3.4.12	The institution's use of technology enhances student learning and is appropriate for meeting the objectives of its programs. Students have access to and training in the use of technology. (Technology use)
Educational Programs		EDUCATIONAL PROGRAMS: UNDERGRADUATE PROGRAMS
Educational Programs	3.5.1	The institution identifies college-level general education competencies and the extent to which graduates have attained them. (College-level competencies)
Educational Programs	3.5.2	At least 25 percent of the credit hours required for the degree are earned through instruction offered by the institution awarding the degree. In the case of undergraduate degree programs offered through joint, cooperative, or consortia arrangements, the student earns 25 percent of the credits required for the degree through instruction offered by participating institutions. (See Commission policy "The Transfer of Transcribing of Academic Credit.") (Institutional credits for a degree)
Educational Programs	3.5.3	The institution defines and publishes requirements for its undergraduate programs, including its general education components. These requirements conform to commonly accepted standards and practices for degree programs. (Undergraduate program requirements)
Educational Programs	3.5.4	At least 25 percent of the discipline course hours in each major at the baccalaureate level are taught by faculty members holding the terminal degree - usually the earned doctorate- in the discipline, or the equivalent of the terminal degree. (Terminal degrees of faculty)
Educational Programs		EDUCATIONAL PROGRAMS; Graduate and Post-Baccalaureate Professional Programs
Educational Programs	3.6.1	The institution's post-baccalaureate professional degree programs, master's and doctoral degree programs, are progressively more advanced in academic content than its undergraduate programs. (Post-baccalaureate program rigor)
Educational Programs	3.6.2	The institution structures its graduate curricula (1) to include knowledge of the literature of the discipline and (2) to ensure ongoing student engagement in research and/or appropriate professional practice and training experiences. (Graduate curriculum)

Educational Programs	3.6.3	The majority of credits toward a graduate or a post-baccalaureate professional degree are earned through instruction offered by the institution awarding the degree. In the case of graduate and post-baccalaureate professional degree programs offered through joint, cooperative, or consortial arrangements, the student earns a majority of credits through instruction offered by the participating institutions. (See commission policy "The Transfer of Transcribing of Academic Credit.") (Institutional credits for a degree)
Educational Programs	3.6.4	The institution defines and publishes requirements for its graduate and post-baccalaureate professional programs. These requirements conform to commonly accepted standards and practices for degree programs. (Post-baccalaureate program requirements)
	3.7	FACULTY
Faculty	3.7.1	The institution employs competent faculty members qualified to accomplish the mission and goals of the institution. When determining acceptable qualifications of its faculty, an institution gives primary consideration to the highest earned degree in the discipline. The institution also considers competence, effectiveness, and capacity, including, as appropriate, undergraduate and graduate degrees, related work experiences in the field, professional licensure and certifications, honors and awards, continuous documented excellence in teaching, or other demonstrated competencies and achievements that contribute to effective teaching and student learning outcomes. For all cases, the institution is responsible for justifying and documenting the qualifications of its faculty. (See Commission guidelines "Faculty Credentials.") (Faculty competence)
Faculty	3.7.2	The institution regularly evaluates the effectiveness of each faculty member in accord with published criteria, regardless of contractual or tenured status. (Faculty evaluation)
Faculty	3.7.3	The institution provides ongoing professional development of faculty as teachers, scholars, and practitioners. (Faculty development)
Faculty	3.7.4	The institution ensures adequate procedures for safeguarding and protecting academic freedom. (Academic freedom)
Faculty	3.7.5	The institution publishes policies on the responsibility and authority of faculty in academic and governance matters. (Faculty role in governance)
		LIBRARY AND OTHER LEARNING RESOURCES

Library	3.8.1	The institution provides facilities and learning/information resources that are appropriate to support its teaching, research, and service mission. (Learning/information resources)
Library	3.8.2	The institution ensures that users have access to regular and timely instruction in the use of the library and other learning/information resources. (Instruction of library use)
Library	3.8.3	The institution provides a sufficient number of qualified staff—with appropriate education or experiences in library and/or other learning/information resources—to accomplish the mission of the institution. (Qualified staff)
STUDENT AFFAIRS AND SERVICES		
Student Services	3.9.1	The institution publishes a clear and appropriate statement of student rights and responsibilities and disseminates the statement to the campus community. (Student rights)
Registrar	3.9.2	The institution protects the security, confidentiality, and integrity of student records and maintains special security measures to protect and back up data. (Student records)
Student Services	3.9.3	The institution employs qualified personnel to ensure the quality and effectiveness of its student affairs programs. (Qualified staff)
RESOURCES		
Fiscal	3.1	Financial Resources
Fiscal	3.10.1	The institution's recent financial history demonstrates financial stability. (Financial stability)
Fiscal	3.10.2	The institution provides financial profile information on an annual basis and other measures of financial health as requested by the Commission. All information is presented accurately and appropriately and represents the total operation of the institution. (Submission of financial statements)
Fiscal	3.10.3	The institution audits financial aid programs as required by federal and state regulations. (Financial aid audits)
Fiscal	3.10.4	The institution exercises appropriate control over all its financial resources. (Control of finances)
Fiscal	3.10.5	The institution maintains financial control over externally funded or sponsored research and programs. (Control of sponsored research/external funds)
	3.11	Physical Resources
Physical	3.11.1	The institution exercises appropriate control over all its physical resources. (Control of physical resources)
Physical	3.11.2	The institution takes reasonable steps to provide a healthy, safe, and secure environment for all members of the campus community. (Institutional environment)

Physical	3.11.3	The institution operates and maintains physical facilities, both on and off campus, that appropriately serve the needs of the institution's educational programs, support services, and other mission-related activities. (Physical facilities)
Governance and Administration	3.12	Responsibility for compliance with the Commission's substantive change procedures and policy. The COC accredits the entire institution and its programs and services, wherever they are located or however they are delivered. Accreditation, specific to an institution, is based on conditions existing at the time of the most recent evaluation and is not transferable to other institutions or entities....
Governance and Administration	3.12.1	The institution notifies the Commission of changes in accordance with substantive change policy and, when required, seeks approval prior to the initiation of changes. (Substantive change)
Governance and Administration	3.13	Responsibility for compliance with other Commission policies. The commission's philosophy of accreditation precludes denial of membership to a degree-granting institution of higher education on any ground other than an institution's failure to meet the requirements of the <i>Principles of Accreditation</i> in the professional judgment of peer reviewers, or failure to comply with the policies of the Commission. (See Commission Web site for all current Commission policies: www.sacscoc.org .)
Governance and Administration	3.13.1	The institution complies with the policies of the Commission on Colleges (Policy compliance) (NOTE_ This standard is not addressed by the institution in its Compliance Certification.)
Governance and Administration	3.14	Representation of status with the Commission. The institution publishes the name of its primary accreditor and its address and phone number in accordance with federal requirements. In such a publication or Web site, the institution should indicate that the Commission is to be contacted only if there is evidence that appears to support an institution's significant non-compliance with a requirement or standard. The institution is expected to be accurate in reporting to the public its status with the Commission. In order to meet these requirements, the institution lists the name, address, and telephone number in its catalog or Web site using one of the following statements:...
Governance and Administration	3.14.1	A member or candidate institution represents its accredited status accurately and publishes the name, address, and telephone number of the Commission in accordance with Commission requirements and federal policy. (Publication of accreditation status)

Institutional Effectiveness? ???	4.1	The institution evaluates success with respect to student achievement, including as appropriate, consideration of course completion, state licensing examinations, and job placement rates. (Student achievement)
Educational Program	4.2	The institution's curriculum is directly related and appropriate to the purpose and goals of the institution and the diplomas, certificates, or degrees awarded. (Program curriculum)
Educational Program	4.3	The institution makes available to students and the public current academic calendars, grading policies, and refund policies. (Publication of policies)
Educational Program	4.4	Program length is appropriate for each of the institution's educational programs. (Program length)
Educational Program	4.5	The institution has adequate procedures for addressing written student complaints and is responsible for demonstrating that it follows those procedures when resolving student complaints. (See Commission policy "Complaint Procedures against the Commission or its Accredited Institutions.") (Student complaints)
Educational Program	4.6	Recruitment materials and presentations accurately represent the institution's practices and policies. (Recruitment materials)
Fiscal	4.7	The institution is in compliance with its program responsibilities under Title IV of the 1998 Higher Education Amendments. (In reviewing the institution's compliance with these program responsibilities, the Commission relies on documentation forwarded to it by the U.S. Department of Education.) (Title IV program responsibilities)